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| 7  | A review and consideration on the kinematics of reach-to-grasp movements in macaque monkeys |
| 8  |   |
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The bases for understanding the neuronal mechanisms that underlie the control of reach-to-14 grasp movements among nonhuman primates, particularly macaques, has been widely studied. 15 However, only a few kinematic descriptions of their prehensile actions are available. A thorough 16 understanding of macaques' prehensile movements is manifestly critical, in light of their role in 17 biomedical research as valuable models for studying neuromotor disorders and brain mechanisms, 18 as well as for developing brain-machine interfaces to facilitate arm control. This article aims to 19 review the current state of knowledge on the kinematics of grasping movements that macaques 20 perform in naturalistic, semi-naturalistic, and laboratory settings, to answer the following questions: 21 Are kinematic signatures affected by the context within which the movement is performed? In what 22 ways is kinematics of humans' and macaques' prehensile actions similar/dissimilar? Our analysis 23 reflects the challenges involved in making comparisons across settings and species due to the 24 heterogeneous picture in terms of the number of subjects, stimuli, conditions, and hands used. The 25 kinematics of free-ranging macaques are characterized by distinctive features that are exhibited 26 neither by macaques in laboratory setting nor human subjects. The temporal incidence of key 27 kinematic landmarks diverges significantly between species, indicating disparities in the overall 28 organization of movement. Given such complexities, we attempt a synthesis of extant body of 29 30 evidence, intending to generate some significant implications for directions that future research might take, to recognize the remaining gaps and pursue the insights and resolutions to generate an 31 interpretation of movement kinematics that accounts for all settings and subjects. 32

A wide variety of tasks, employing numerous techniques, have been used to furnish a 34 detailed characterization of reach-to-grasp movements, at both the neural and behavioral levels 35 (Bennett & Castiello 1994; Corbetta & Santello, 2018; Nowack & Hermsdorfer 2009; Wing et al. 36 1996). In neural terms, research has shown that analogous cortical areas are involved in controlling 37 the prehensile actions of both humans and macaques (e.g., Begliomini 2008; Castiello 2005; 38 Filimon 2010; Culham et al. 2006; Rizzolatti and Luppino 2001). In behavioral terms, the extent to 39 which such similarity, at the neuronal level, actually translates into comparable kinematics, with 40 regard to processing objects' features and contextual factors, remains substantially less clear. This 41 ambiguity owes to the vast quantities of available psychophysical data related to human prehensile 42 movements, relative to the scarce and largely heterogeneous data available to inform accounts of 43 the kinematics of macaques' reach-to-grasp movements. 44

45 This work is an attempt to summarize the current state of knowledge on the kinematic organization that underlies the formation of reach-to-grasp movement patterns in macaques. To do 46 this, we first established the goal of qualitatively categorizing the prehensile actions produced in a 47 naturalistic setting, as this body of evidence can operate as a sort of referential platform, enabling us 48 to identify the grip types that primates can, theoretically, learn and perform in a laboratory setting. 49 We then plan to move onto an examination of the kinematics of those reach-to-grasp movements 50 that are employed to manipulate objects of different sizes and shapes, at various distances, in 51 naturalistic, semi-naturalistic, and laboratory settings. Studies that examine hand shaping by 52 analyzing the distance between the thumb and the index finger, and derivatives of that method (i.e. 53 the two-digit approach; e.g., Jeannerod 1984), as well as multi-digit grasping (e.g. Santello and 54 Soechting 1998) will be reviewed. The article also aims to compare the body of evidence that exists 55 56 for macaques to the evidence developed with regard to humans. Each section starts with a brief summary of the primary results obtained from human subjects, for a specific experimental 57 manipulation; the summary is intended to function as a reference point for the research on 58 59 macaques subsequently presented. Finally, we will highlight those factors that, from our

perspective, should inform future research, to determine the basis for making valid comparisons
 across settings and species.

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## A Description of Grasping Configurations in Naturalistic Settings

The acknowledged diversity of grasping behavior among macaque monkeys is manifest in 64 data assembled from naturalistic and ethological research observations of semi-free-ranging rhesus 65 macaques living in their natural habitat, with grips classified according to the skin surface areas in 66 contact with the object (for a review, see Macfarlane and Graziano 2009). The salient conclusion of 67 that study is that macaque monkeys employ a wide and varied assortment of grips that fall into two 68 broad functional categories: object manipulation (most grips are of this type) and climbing. The 69 following focuses on the grips aimed at object manipulation that most closely resemble those 70 71 examined in subsequent sections of this review (variants of precision and power grips). They are presented according to incidence, from most frequent to least: 72

(i) The side grip (Fig. 1a) involves pinching an object between the thumb and the index
digit. When using this grip, the distal thumb pad opposes the radial side of the second digit (but this
corresponding opposition might occur anywhere along that digit). Macaques deploy this grip to
manipulate small objects, such as blades of grass and pieces of fruit.

(ii) The precision grip (Fig. 1b), hereafter referred to as "PG", involves opposing the distal
pad of first digit to that of the second digit. This grip involves a larger area of pulp-to-pulp contact,
relative to the pad-to-side grip. Macaques adopt this grip for grooming activities, and also to
manipulate objects, like pieces of grass or dirt.

(iii) The thumb-to-second/third grip (Fig. 1c) features collaboration of the second and third
digits in opposition to the thumb. The grip is generally used to hold medium-sized objects, such as
pieces of fruit.

| 84  | (iv) The power grip (Fig. 1d), hereafter referred to as "PoG", is characterized by five parallel       |
|-----|--|
| 85  | fingers wrapped, in the shape of a fist, around an object, often a larger one than the other grips can |
| 86  | negotiate.   |
| 87  | Together, these four grip types account for approximately 70% of simple grips (typically, a            |
| 88  | simple grip is used to grasp a single object, while a complex grip involves the application of         |
| 89  | multiple grips to one or more objects at the same time) that macaques use (MacFarlane and              |
| 90  | Graziano, 2009). Because kinematic studies have not paid substantial attention to the side grip or     |
| 91  | the thumb-to-second/third grip, these two grips will not be subject to explicit examination in the     |
| 92  | sections of the manuscript that follow.  |
| 93  |  |
| 94  | Insert Figure 1 about here   |
| 95  |  |
| 96  | Quantitative Assessment of Grasping Configurations   |
| 97  | By contrast to the large quantity of psychophysical data available on human reach-to-grasp             |
| 98  | movements (Bennett and Castiello 1994; Corbetta and Santello, 2018; Jeannerod 1988; Nowack and         |
| 99  | Hermsdorfer 2009; Wing et al. 1996), there is a paucity of information on the kinematics of reach-     |
| 100 | to-grasp movements in macaques; what little exists has been gathered entirely from naturalistic,       |
| 101 | semi-naturalistic and experimental settings, such as those outlined below (see Table 1).               |
| 102 |  |
| 103 | Insert Table 1 about here  |
| 104 |  |
| 105 | The kinematics of reach-to-grasp movements presented for all the naturalistic studies has              |
| 106 | been generated via digitalization techniques using video footage of these primates in their natural    |
| 107 | habitat, spontaneously reaching to grasp objects (e.g., Sartori et al. 2013a). The kinematics of the   |
| 108 | macaque's prehension, in the semi-naturalistic setting, was reconstructed from three-dimensional       |
| 109 | (3D) video images (Christel and Billard 2002). Behavioral and neurophysiological studies               |

examining macaques' upper limb kinematics in a laboratory setting, where the animal was 110 constrained in a primate chair, used optoelectronic techniques (e.g., Roy et al. 2000). We did not 111 consider psychophysical investigations which (i) were restricted to the reaching component, (ii) 112 113 show poor temporal resolution (Fogassi et al. 2001; Gardner et al. 1999), along with those that (iii) considered the stages of the prehension task but did not report on specific parameters (Chen et al. 114 2009; Gardner et al. 2007a, b, c), or (iv) examined a task that was fundamentally different from the 115 majority of those outlined in this review (i.e., swinging objects; Bansal et al. 2011; Vargas-Irwin et 116 al., 2010; Zhuang et al. 2010). 117

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#### 119 A direct comparison between humans and macaques: a semi-naturalistic study

To our knowledge, only one study has been designed with the aim of directly comparing the 120 121 kinematics of prehensile actions in macaques and to those in humans. Here, the macaques' movements were recorded in a semi-naturalistic setting (Christel and Billard 2002). The macaques 122 studied were free-ranging, within a relatively large area in their normal habitat, spontaneously 123 performing PG movements in a quadrupedal stance or in a sitting or squatting position, with the arm 124 either flexed or stretched. The human participants were, instead, seated at a table and instructed to 125 carry out similar tasks that involved grasping small pieces of food, using a precision grip. To 126 reproduce the time constraints that impact the macaques' response to group competition, a 127 metronome was introduced, to pace the human participants' movements. The investigators reported 128 that, whereas the macaques were faster than the humans, during the reaching phase, they moved at a 129 similar pace to the humans during retrieval. The monkeys were able to execute their movements 130 more rapidly, during the reaching phase, by rotating their wrists and opening their hands with 131 132 greater speed. The angular velocity and acceleration of the finger aperture and the wrist were, in fact, significantly higher in the macaques than in the humans. Some have hypothesized that the 133 primates were able to move more quickly because they have lighter and greater muscular strength 134 (in proportion to their body mass) relative to humans (Cheng and Scott 2000; see also Billard 2001; 135

136 Billard et al. 2001). Study results also revealed that the macaques executed steeper and wider excursions of the elbow and wrist, a smaller abduction of the shoulder joint, and a greater 137 displacement of the torso relative to human movement. Notably, despite the greater instability of 138 139 the macaques' postures and joint kinematics, both species had similarly smooth hand paths (Christel and Billard 2002). In light of these data it has been proposed that macaques might have a more 140 demanding way of controlling their muscles (i.e., sharp breaks and starts), relative to humans, who 141 make smoother transitions in speed (Christel and Billard 2002). It is interesting to observe that, 142 notwithstanding the similar qualities shared by humans and macaques, the interspecies distinctions, 143 in terms of kinematic irregularities, specifically in elbow-shoulder posture might stem from a 144 different control system (Christel and Billard 2002). Macaques rely on their arms for at least two 145 main behaviors: locomotion and object manipulation. From an evolutionary perspective, it is 146 reasonable to hypothesize that neural control for locomotion evolved in the central nervous system 147 earlier than the mechanism for fine object manipulation and, as a result, a macaque's brain might 148 switch almost constantly between these two activities. A possible way of simplifying this overlap 149 involves separating the higher-and lower-motor control centers that guide grasping behavior and 150 locomotive activity, respectively. Further studies are necessary to assess this hypothesis as well as 151 the possibility of different brain areas to control locomotion and reaching. Although this study is a 152 worthwhile attempt to identify the interspecies differences and similarities, it should here be noted 153 that the stimuli used in this study (i.e., raisins and peanuts) varied with regard to the motivational 154 status they would be assigned by macaques and humans, respectively. In fact, macaques are used to 155 quickly executing grasping movements, aimed at snatching up food items of a similar size and 156 rapidly scanning material to distinguish food from non-food; humans are involved with and 157 158 motivated to execute the task of grabbing food in ways that are significantly different from such primates. Further, postural differences might have played a role in highlighting the reported 159 differences. 160

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| 163 |   |
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| 164 | Two-Digit Approach  |
| 165 | Figure 2 provides a schematic representation of the main dependent variables used to                      |
| 166 | characterize reach-to-grasp movements, in both humans and macaques, for the studies reported in           |
| 167 | this section.   |
| 168 |   |
| 169 | Insert Figure 2 about here  |
| 170 |   |
| 171 | The Effects of Object Size  |
| 172 | The reach-to-grasp literature on humans demonstrates consistency across studies with regard               |
| 173 | to results such as a longer movement duration, a prolonged arm deceleration (i.e., the time from          |
| 174 | peak velocity to the movement's end), a lower arm peak velocity amplitude, and a predictably              |
| 175 | diminished amplitude of maximum grip aperture for smaller stimuli, relative to larger stimuli             |
| 176 | (Castiello et al. 1993; Castiello 1996; Gentilucci et al. 1991; Jakobson and Goodale 1991;                |
| 177 | Jeannerod, 1984).   |
| 178 | With the foregoing details in mind, it is worth noting that a naturalistic study, by Sartori and          |
| 179 | colleagues (2013a), examining macaques employing PG movements to grasp small objects and PoG              |
| 180 | movements to grasp large ones (Fig. 3a), reported that each type of movement was characterized by         |
| 181 | a specific kinematic signature that mirrored human data. Movements toward smaller objects led to a        |
| 182 | prolonged movement duration, relative to movements toward larger objects. The deceleration time           |
| 183 | was longer for the small objects, relative to the larger ones, whereas the peak velocity amplitude        |
| 184 | was higher for larger objects than for smaller objects (Fig. 3b). The latency of peak velocity did not    |
| 185 | differ, with respect to object size. The grasping component was characterized by a maximum grip           |
| 186 | aperture, smaller and attained earlier for smaller objects, relative to larger ones (Fig. 3c). Turning to |
| 187 | the laboratory setting, Fogassi and colleagues (1991) examined the kinematics of one macaque,             |
|     |   |

| 188 | trained to reach for and grasp either a large or a small cylinder, using a PoG and a PG, respectively. |
|-----|--|
| 189 | They observed a kinematic patterning that resembled the one characterizing macaques' actions in a      |
| 190 | naturalistic environment (Sartori et al., 2013a) and humans (e.g. Gentilucci et al., 1991). Further    |
| 191 | behavioral laboratory experiments (Roy et al. 2000, 2002; fig. 3d) did not demonstrate differences     |
| 192 | in the latency of peak velocity, with respect to object size (Fig. 3e) and the amplitude of maximum    |
| 193 | grip aperture increased with object size (Fig. 3e) as found by Fogassi and colleagues (1991).          |
| 194 | However, by contrast to findings related to the unconstrained actions of macaques (Sartori et al.,     |
| 195 | 2013a), in humans (e.g., Gentilucci et al., 1991) and findings of the experimental study by Fogassi    |
| 196 | and colleagues (1991), object size influences neither the amplitude of the velocity peak nor the time  |
| 197 | at which maximum grip aperture occurred. In particular, for one monkey (of the three tested), the      |
| 198 | latency and amplitude of maximum grip apertures decreased for small objects, and unexpectedly          |
| 199 | increased for others. This mixed picture emerges more conclusively upon inspection of Figure 4.        |
| 200 | The observable percentage of movement duration where the incidence of key kinematic landmarks          |
| 201 | is dependent on object size differs widely across studies, settings and species. It is worth noting    |
| 202 | that, in human adults, the temporal incidence of such landmarks is largely rather stable across        |
| 203 | studies, resting around the values depicted in Figure 4. This suggests that, for macaques, setting     |
| 204 | type is pivotal to determining kinematical timing.   |
| 205 |  |
| 206 | Insert Figures 3 and 4 about here  |
| 207 |  |
| 208 | The Effects of Object Distance   |
| 209 | Some studies report kinematic changes among humans, with respect to object distance (e.g.              |
| 210 | Gentilucci et al., 1991; Jakobson and Goodale, 1991). Researchers have noted observations of           |
| 211 | longer movement duration, prolonged arm deceleration time, and lower arm peak velocity                 |

- amplitude, together with a delayed amplitude of maximum grip aperture for objects that are farther
- away, relative to objects in closer proximity (Gentilucci et al., 1991; Jakobson and Goodale, 1991).

| 214 | A naturalistic study, by Sartori et al. (2013b), evaluated macaques grasping objects located           |
|-----|--|
| 215 | at various distances (Fig. 5a). Although the total duration of the movements and the time of the       |
| 216 | peak wrist velocity did not differ significantly across the three distances considered, there was a    |
| 217 | higher peak velocity amplitude for movements performed to secure more distance with respect to         |
| 218 | closer objects (Fig. 5b). The data demonstrate a strong correlation between distances and peak         |
| 219 | velocities (Fig. 5c). In accordance with the 'isochrony principle' (Viviani and McCollum 1983), a      |
| 220 | gearing down/up of movement velocity, depending on the amount of distance to cover, produced a         |
| 221 | constant duration of movement. For the grasping component, the time to maximal aperture did not        |
| 222 | increase as distances lengthened. In a laboratory setting, Fogassi and colleagues (1991) found         |
| 223 | further evidence of the isochrony principle, when one macaque reached toward and grasped objects       |
| 224 | at different distances.  |
| 225 | Observation of humans and macaques reflects significant contrasts between them. Monkeys                |
| 226 | acting in a naturalistic setting consistently apply the isochrony principle (to wit, the peak velocity |
| 227 | amplitude increases with distance, while the movement time remains constant). These kinematic          |
| 228 | signatures have not, to date, been detected consistently in macaques' laboratory studies (only in one  |
| 229 | monkey in the study by Fogassi et al., 1991) or in human studies (a few participants in one study;     |
| 230 | Jeannerod, 1984). Regarding the grasping component the time to the maximal aperture did not            |
| 231 | increase with distance remaining invariant in free-ranging macaques. This latter effect has not been   |
| 232 | detected in any study of humans or macaques.   |
| 233 |  |
| 234 | Insert Figure 5 about here   |
| 235 |  |
| 236 | The effects of movement direction  |

Studies examining human movement direction (Connolly and Goodale 1999; Paulignan et
al. 1991, 1997) have revealed longer movement times, with later and higher peaks in wrist velocity,
for right-hand movements heading leftward, rather than rightward. For the grasping component, a

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delayed time to the maximum grip aperture for movements toward objects on the left has been
noticed (Connolly and Goodale 1999; Paulignan et al. 1997).

Roy and colleagues (2002) examined the effect of object location, in terms of leftward and 242 rightward movements, in macaques acting constrained in a laboratory setting. The study involved 243 only movements made with the right hand. The objects were spaced evenly and aligned 244 perpendicularly to the monkey's sagittal axis, situated so that the central and lateral (left and right) 245 objects were at the same distance from the home pad (Fig. 5d). The study's most salient finding was 246 that movements toward objects on the left took significantly longer than those directed to either the 247 right or toward the central object. The differences between the movements directed to the right and 248 to the central objects were minor and attained statistical significance in only one monkey. 249 Corresponding with an increase in movement times for leftward-directed movements, grasping for 250

251 leftward objects was characterized by smaller velocity peaks, with respect to movements rightward or the center (Fig. 5e). The impact of object location on the time to maximum grip aperture was 252 homogeneous across the studied monkeys (Fig. 5e): it was always reached later for movements 253 leftward, relative to those directed centrally or rightward. Intra-individual differences in grip 254 amplitude were also observed: two of the monkeys demonstrated smaller grip apertures for 255 rightward movements, while a third displayed the highest grip aperture for rightward movements. 256 These data suggest that some cross-species similarities can be understood with regard to longer 257 movement times, as well as later and higher wrist velocity peaks for right-hand movements, 258 heading leftward. Like humans, for the grasping component, the animals presented a delayed time 259 to the attainment of maximum grip aperture for movements toward objects located on the left 260 (Connolly and Goodale 1999; Paulignan et al. 1997). 261

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264 **The Effects of Posture** 

No studies of humans have examined the extent to which 'macaque-like' body postures (i.e.,
seated and tripedal stance) impact kinematic parameterization of reach-to-grasp movements,

thereby rendering most cross-species comparisons impossible. To date, only the macaques' 267 naturalistic study outlined below has tackled this issue. Postural effects were gauged by examining 268 the macaques' grip behaviors in two postural positions: in a sitting position (Fig. 6a) or paused and 269 270 still, following quadrupedal locomotion (i.e., tripedal stance; Fig. 6b; Sartori et al. 2014a). Individual macaques were filmed on their approach, when they stopped briefly in a tripedal 271 position, and then grasped an object of interest. The object/s was/were at the same approximate 272 distance from the subject's initial position in both situations studied. The kinematic signatures of 273 grasping in a seated position mirrored those described above for the 'object size' effect (see Fig. 274 6c). Instead, the primates displayed analogous kinematic patterns, for both the PG and the PoG, 275 with regard to time and amplitude of the maximum grip aperture, when the prehensile action took 276 place in a tripedal stance (please refer to the solid lines in Figs. 6c,d). One factor could 277 278 hypothetically account for this finding: given the quantity of motor programming resources devoted to maintaining balance and coordination during locomotion (e.g., Dunbar and Badam 1998; Larson 279 1998; Patel 2010), primates probably apply a compensatory strategy when they are simultaneously 280 walking (i.e., locomotion) and while planning a grasping action. Theoretically, quadrupedal 281 locomotion imposes greater demands on the central nervous system, relative to retain a seated 282 posture. Adopting a hybrid grip pattern for different-sized objects seems to compensate for this 283 disparity of required effort. It cannot be excluded, however, that this effect might be simply related 284 to important biomechanical constraints that do not require neural explanations. Further research to 285 clarify this aspect is needed. 286

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### 290The Effects of Speed

When human beings rush to execute rapid reach-to-grasp movements, they open their hands more widely than they do when moving at a natural speed, thereby increasing their tolerance for

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positioning errors that derive from the higher wrist variability (Wing et al. 1986). A greater hand
aperture represents an error-compensating adjustment, to avoid a collision of the thumb or fingertip
with the object in question.

296 Monkeys' activities, as they snatch food items from one another (i.e., snatching condition) or in the absence of competition or threats from rivals (i.e., unconstrained condition) have been 297 compared to characterize the impact of speed on the kinematics of prehensile actions (Sartori et al. 298 2015). The type of action (snatching vs. unconstrained) affected both the reaching and the grasping 299 components of the movements (Figs. 7a,b). In terms of movement time, snatching movements 300 required less time than unconstrained movements. Wrist peak velocity was higher and manifested 301 earlier for snatching movements than for unconstrained movements. Further, during the 302 deceleration phase, a break-point, generally occurring at 80% of the way through the movement's 303 duration, was only observed among those in the snatching condition (Fig. 7a). The break-point 304 coincided temporally and correlated with the time of the maximum grip aperture (Fig. 7a; Sartori et 305 al. 2015), reflecting a high degree of temporal association between the reaching and the grasping 306 307 components when a great deal of accuracy (such as that involved in quickly grasping small objects) is required. 308

The consistent temporal synchronization of reaching and grasping components seems to be an exclusive trait of free-ranging macaques. The temporal modulation of hand aperture seems, nevertheless, to distinguish the two species from each other: the time of the maximum grip aperture is often attained earlier in humans, for faster actions, whereas the inverse condition obtains for macaques. Like humans, monkeys demonstrated an increase in the amplitude of maximum grip aperture for the fast condition.

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# 320 Selective Grasping

Although many different objects are present in a visual field, information specific to just one 321 322 of these objects uniquely determines the spatiotemporal coordinates of the endpoint of a reaching gesture, which include orientation, aperture of the hand, etc. This leaves us with a question, 323 however: Are, the other objects, each of a distinctive size, shape, color and weight, motorically 324 represented? As the hand is clearly able to (and does) move around and/or above irrelevant objects, 325 such objects are certainly represented internally. To wit, when a target object is not alone, but rather 326 327 flanked by other objects, is the information related to and available from the flankers overlooked? In humans, information from even irrelevant objects influences motor outputs (Castiello 1999; Tipper 328 et al. 1998). For instance, when grasping a large target, flanked by an object suitable to a small 329 330 grasp, the amplitude of the maximum hand aperture is smaller than it would have been had the target been presented alone (Castiello 1996). The inverse occurred when grasping a small object, 331 flanked by a large one. For another example, if an object is close to target, whether it is an obstacle 332 or not, it renders the reaching trajectory toward the target wider and higher (Tipper et al. 1997). 333

A naturalistic study conducted by Sartori et al. (2014b) investigated macaques grasping 334 objects in two situations: in the first, the grasped object was located to the monkey's left (Fig. 8a) or 335 its right (Fig. 8b), and no other objects were within reaching distance; in the second, the grasped 336 object, either to the right or to the left, was flanked by other objects located to the monkey's right 337 and within reaching distance (Fig. 8c, d). The hand aperture correlated with the size of the object in 338 the absence of any other potentially distracting objects in the vicinity. To wit, the maximal hand 339 aperture was significantly smaller for the smaller objects than for large ones, and vice versa (control 340 341 conditions in Fig. 8e; please refer to the 'the effects of object size' section). The study's most important finding was that, in the other situations, where the target object was not alone, but rather 342 was in the vicinity of flanking objects the results indicated that the flankers' information did not go 343 unnoticed, as the aperture of the hand used to grasp the target was affected by the flanker. As 344

revealed in Figure 8e (i.e., incongruent conditions), when the animal grasped a large target, flanked by an object invoking a small grasp, the amplitude of the maximum hand aperture was smaller than it would have been if the target had been presented in isolation. The inverse result occurred, when the animal grasped a small object, flanked by a large one.

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# --- Insert Figure 8 about here ---

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In another naturalistic study (Bulgheroni et al. 2017), macaques were observed as they 352 reached for an object (i) when no other objects were in the vicinity (Figs. 8a,b), (ii) when a nearby 353 object was present but did not represent an obstacle (i.e., not impeding a movement or requiring a 354 change in trajectory; Fig. 8c), and (iii) when a nearby object that did represent a potential obstacle 355 356 was present (Fig. 8d). The results indicated that the presence of a nearby object did indeed affect the wrist trajectory (see Figs. 8c,d), as it demonstrated greater deviations from the path (i.e., solid lines 357 in Figs. 8c,d) with the potential obstacle, relative to the no-nearby-object-condition (i.e., dashed 358 lines in Figs. 8c,d). Data on the maximum trajectory height (the maximum height reached by the 359 arm trajectory from the ground) revealed that when the nearby object actually functioned as an 360 obstacle, the arm trajectory was higher, relative to the no-obstacle-condition (Fig. 8f). When a 361 nearby object was present but represented no real impediment, maximum trajectory was higher, as 362 in the presence of a real obstacle (Fig. 8f). These findings suggest that, the presence of a nearby 363 object, whether it is actually an obstacle or not, renders the reaching trajectory toward the target 364 wider and higher. The type of representation invoked by the nearby object(s) contains information 365 about the action that it/they prompt(s), and this information is nested within the one programmed 366 367 for the target object. Monkeys are sensitive to non-goal-related-targets' motoric features, given their potential role as targets capable of triggering action. As the results presented here exactly mirror 368 those obtained in studies of humans (Castiello 1996, 1999; Tipper et al. 1997, 1998), free-ranging 369 370 macaques and humans appear to share a number of kinematic features and neural responses, with

371 regard to the selection mechanisms linked to action control (Allport 1987). This make sense, given 372 that animals have evolved neural information processing systems to facilitate interaction with the 373 environment, thereby maximizing its probability of survival and reproduction. Primates and humans 374 both recognize that, to attain this goal, they must extract appropriate information about the 375 environment via perceptual systems and in a form that can be deployed to guide actions.

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# The Effects of End Goal

How an object is grasped does not depend exclusively on the properties of the object, but is 380 also influenced by the action's end-goal. In humans, a number of studies have examined how end-381 goals influence the execution of reach-to-grasp movements (e.g., Ansuini et al. 2014 for a review). 382 In these studies, the end-goal varied, while the grasped object, as well as the context, remained 383 constant. This aspect has been tested in two-digit grasp studies, as well as in multi-digit grasp 384 studies, exploring the way that the whole hand is shaped during the moment in which the reach-to-385 grasp movement unfolds. For instance, Marteniuk et al. (1987), by requesting participants to grasp a 386 disk and either fit it carefully or throw it, demonstrated that deceleration time was longer for the 387 fitting condition than for the throwing one. Ansuini et al. (2008), by conducting an analysis of digit 388 kinematics, revealed that when the bottle was grasped with the intent to pour, both the middle and 389 390 the ring fingers were extended farther than they would have been for purposes of displacing, throwing, or passing it. 391

In macaques, this issue has been tackled only in one neurophysiological study conducted by Bonini and colleagues (2012). They assessed possible kinematical differences between conditions where the monkey grasped-to-eat or grasped-to-place different target objects (i.e., pieces of food or metallic objects), using different types of grip (Fig. 9a). The study focused on two primary parameters: the maximal distance between the tip of the thumb and the index finger, and the peak wrist tangential velocity. The study results revealed that hand aperture and peak wrist velocity were not significantly different when the monkey executed a grasp-to-eat motion or a grasp-to-place

| 399 | motion concerning piece of food (Fig. 9b,c). However, peak wrist velocity was significantly higher   |
|-----|--|
| 400 | when the monkey executed a grasp-to-place motion on a piece of food, rather than on a metallic       |
| 401 | object. Although some differences concerning the end-goal, at the level of the reaching component,   |
| 402 | might suggest that, like humans, macaques program their movements differently, pursuant to an        |
| 403 | end-goal, the heterogeneity of the dependent measures and conditions tested in the two species       |
| 404 | make it advisable to be cautious about drawing any firm conclusion on the matter.                    |
| 405 |  |
| 406 | Insert Figure 9 about here   |
| 407 |  |
| 408 | The Multi-digit Approach   |
| 409 | The laboratory studies examined in this section all focused on simultaneous motion, at the joints of |
| 410 | all five digits, during reach-to-grasp movements. In these studies, dimensionality-reducing          |

techniques (e.g., principal component analysis, [PCA]) were used chiefly to identify the kind of
control strategies underlying the organization of a complex system, like the hand. Employing these
techniques has demonstrated that, in humans, the linear combination of a small number of hand
postures can generate the hand shapes needed to grasp a large variety of objects (Santello et al.
2002; Santello and Soechting 1998).

In one study (Mason et al. 2004), macaques performed sensorily- or visually-cued reach-to-416 grasp tasks, where the size, shape, and orientation of the objects varied (see Fig. 10a). The wrist-417 speed profile was bell-shaped for the reaching component, and the divergence in hand paths, at the 418 end of the reaching movement, depended on the orientation of the hand preparing to grasp the 419 object. Hand shaping was initiated at the beginning of the reaching movement and continued 420 421 throughout, trying to match object properties, even when the primate was unable to see either the hand or the object (Fig. 10b). Two synergies identified via PCA were determined to account for 422 most of the kinematic variability: the first, consisting of an open hand with partially-flexed fingers, 423

424 explained 93% of the variability; and the second, consisting of an extension of all joints, accounted
425 for another 4–5% of the variance.

A subsequent study, using similar procedures (Mason et al. 2006), investigated the extent to 426 which individual fingers acted synergistically during the pre-shaping process. Individual fingers 427 were found to move with a stereotyped temporal profile coupled across the fingers. As such, a 428 temporal coordination of individual finger seems to be embedded in the overall hand-shaping 429 synergies. These findings suggest that a control strategy simplifying grasping uses stereotypic 430 timing for finger movements, relying on amplitudes to match an object's properties. As the 431 temporal evolution of finger movements is stereotypic and tightly coordinated, the motor system 432 varies the amplitude of the finger movements to achieve the desired hand shape. That the timing of 433 the fingers' inflection points was stereotypic and tightly coupled means that the fingers moved 434 435 together with the spatiotemporal unity required to shape the hand preparing to grasp an object. The speed of the finger joint angles, during hand shaping, suggests that this parameter is also vital to the 436 controlled timing of the task (Prosise et al. 2015; Vinjamuri et al. 2009). 437

Confirmation that grasp shapes for an object during reach is a process that may be mediated 438 by dedicated grasping synergy derives from studies that used instrumented gloves, rather than three-439 dimensional motion analysis systems to monitor joint angles. Overduin and colleagues (2010; see 440 also Overduin et al. 2008) quantified the object information conveyed by the sensors embedded in 441 the glove, in terms of the sensorimotor efficiency index (SME; Santello and Soechting 1998). The 442 SME is an absolute measure of performance that can be defined as the ratio between the 443 information transmitted by the object and the maximum amount of information transmissible by an 444 object. As in earlier studies (Mason et al. 2004), sensory data confirmed that grasp pre-shaping for 445 446 an object, during reach, is a process that may be mediated by a dedicated grasping synergy (Overduin et al. 2008). Using a similar technique, Schaffelhofer and colleagues (2015a; see also 447 Schaffelhofer and Scherberger 2012) proposed a musculoskeletal approach to the study of the upper 448 extremity, thereby employing a nonlinear transfer function, from the joint domain to the muscle 449

450 domain. This enables a compact representation and a high level of decoding accuracy concerning large repertoires of grasping actions (Fig. 10c). The researchers used PCA, to interpret and visualize 451 the large repertoire of grasping movements in both degrees of freedom (DOF) and the 452 453 musculotendon unit (MTU) space. Notably, the DOF and the MTU space demonstrated a strong similarity within PCA coordinates. Almost the same cluster overlaps were observed for the DOF 454 and the MTU representation. Eight PCA components were determined to be sufficient to account 455 for more than 95% of variance across all conditions in the MTU space, whereas in the DOF space, 456 around 11 components were required to account the same amount of variance. The lower 457 dimensional representation in the MTU space is remarkable, as the number of MTU (i.e., 50) 458 involved in grasping strongly exceeded the number of DOF (i.e., 27). Overall, this model employs a 459 nonlinear transfer function, from the joint domain to the muscle domain, to enable a more compact 460 461 representation and a higher level of decoding accuracy of large repertoires of grasping actions than was possible via the traditional method of joint kinematics recording. 462

Neurophysiological studies aimed at uncovering how the kinematics of reach-to-grasp 463 movements are encoded at the neuronal level used similar procedures, like PCA (Mason et al. 2001; 464 Mollazadeh et al. 2014; Saleh et al. 2010; Schaffelhofer et al. 2015b) or a more novel version of this 465 technique, known as demixed principal component analysis (dPCA; Takahashi et al. 2017). Such 466 studies confirmed results obtained in studies in which surgical procedures were not performed, in 467 terms of synergies. Furthermore, the results are in line with human studies aimed at decoding 468 kinematics of individual fingers' movement at neural level with electrocorticograms (ECoG; 469 Kubanek et al. 2009). The decoding of continuous grasping movements shows that the many 470 degrees of freedom inherent to finger movements can be represented by a few principal component 471 472 representations (Flint et al. 2017).

Altogether, these findings have shown that, as is the case for humans (Santello et al. 2002;
Santello and Soechting 1998), for macaques, the linear combination of a small number of hand
postures can generate the hand shapes needed to grasp a large variety of objects. The need to

simplify control strategies concerned with the reduction of the number of degrees of freedom, to 476 minimize the complexity of the control problem, has been asserted by various authors, on the basis 477 of human and macaque data (Arbib et al. 1985; Iberall and Fagg 1996). One solution to the 478 479 complexity problem involves the use of a small number of synergies (D'Avella et al. 2003; Schieber and Santello 2004). Synergistic hand shaping would involve movement of the digits in a 480 highly coordinated, dependent pattern. In terms of homologies, it must be noted that, on some 481 occasions, the variance accounted for by the PCAs and the SME is somewhat lower in monkeys 482 than in humans, but this difference probably reflects the broader selection of objects used in human 483 studies. Basically, however, the postures and the timing of hand shaping are common to humans 484 and monkeys. 485

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#### --- Insert Figure 10 about here ---

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The multi-digit studies mentioned above focused primarily on variations in the digit and 489 wrist angles used to grasp various objects, without conducting a simultaneous examination of the 490 impact of object and location. To plug this gap, Rouse and Schieber (2015) focused on analyzing 491 joint angles, from the shoulder to the five digits. The variation of each angle, depending on the 492 location, on the object, and on the interaction between these two factors, was calculated as a 493 function of time. Two main phases were identified: an early phase involving location effects from 494 the shoulder to the digits, followed by a phase driven by object effects at the level of joint angles 495 distal to the shoulder. The effects, relative to the interaction between location and object, were 496 rather small. Whereas location did not influence grasp shape, the object influenced the reach 497 498 trajectory. These findings suggest that controlling reach-to-grasp movements develops via two sequential phases: a first phase, concerned with the arm bringing the hand toward the object; and a 499 second phase, shaping the arm/hand ensemble to grasp and manipulate the object. A pause occurred 500 501 in many joint angles, at the time of the transition from one phase to another. These pauses might be

indicative of a shift from an initial phase that guides the extremity to the intended location, to a
subsequent phase that prepares the extremity for grasping and manipulating the intended object.
These observations are consistent with human studies by Jeannerod (1984, 1986) who observed
similar pauses in hand opening, at approximately the time of peak transport velocity.

506

#### 507 Conclusions

First and foremost, an inspection of Table 2 seems to confirm the existence of some 508 similarities between macaques and humans, when certain conditions for a comparison are met. For 509 instance, macaques tested in both naturalistic and behavioral laboratory settings seem to modulate 510 the kinematics according to object size, as humans do. However, the information depicted in Figure 511 4 suggests that this might not be the case, and that a certain degree of caution should be used when 512 declaring similarities. Here, the temporal distribution of key kinematic variables reveals interspecies 513 differences. To wit, both humans and macaques modulate temporal aspects of kinematics depending 514 on object properties, but in some cases, the form of such modulation differs. When we inspect the 515 time of the maximum aperture for the grasping component and the time of peak velocity for the 516 reaching component, with respect to the object size, the results for macaques examined in different 517 settings are scattered, diverging from the human data (Fig. 4). Rather, a similarity across species 518 emerges, as far as object distance is concerned. The time to peak velocity takes a similar percentage 519 of movement time for macaques in naturalistic setting as it does for humans. Overall then, it would 520 seem that the mode of timing the kinematic patterning, related to the intrinsic (i.e., size) and 521 extrinsic (i.e., location) properties of objects adheres to different rules for macaques acting in 522 different settings than for humans. This is an important issue because the incidence of these 523 524 measures is an essential condition for a successful reach-to-grasp movement. The very fact that such timing varies across settings and species is suggestive of the existence of diverse modes for 525 programming the action. This aspect it is also important because it seems that even though humans 526 527 and macaques mobilize similar neural structures for reaching to grasp, this may not translate into

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- macaques and humans sharing conceptual motivation for movement beyond the purely
  physiological trait. In other words, that they use the same neural structures does not mean that both
  species motorically interpret their perceptions (of objects and context) in the same way.
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- 532

533

## --- Insert Table 2 about here ---

A second critical aspect that emerges during an inspection of Table 2, is that the majority of 534 conditions tested in naturalistic and behavioral laboratory settings have not yet been assessed in 535 semi-naturalistic and neurophysiological settings. We feel that this gap in the literature must be 536 filled, particularly for studies conducted in neurophysiological settings. The overarching aim of 537 these investigations is to gain a more robust understanding of how kinematic parameterization can 538 be accurately decoded from the cortical areas dedicated to the planning and execution of reach-to-539 540 grasp movements, given the important implications such knowledge would have for the neural guidance of hand prosthetics. Although some work in terms of hand shaping (i.e., multi-digit 541 approach) confined to whole hand grasping movements has been done in macaques (Schaffelhofer 542 et al. 2015a) and humans (Flint et al. 2017), knowing how the neural decoding of kinematics is 543 modulated according to distances, locations, sizes of objects and type of grasp appears pivotal for 544 545 implementing flexible myoelectric prosthetics. Needless to say, the effect of the movement speed would also be a relevant aspect for comparing macaques' and humans' movements, given that 546 macaques move much more quickly than humans do and that their mode of organizing reach-to-547 grasp movements may present some peculiarities, as reported above (i.e., isochrony, break point). 548 Continuing forth from this analysis, it is notable that choosing a grip does not depend exclusively 549 on the visual properties of the target object, but rather on the environment within which the action 550 551 takes place, the meaning invested in the object, and what the individual intends to do with the object. To date, these aspects have been only marginally addressed. Incorporating the components 552 of selection and intention into the investigation of reach-to-grasp movements in macaques is 553 crucial, if this animal model is ever intended to assist in implementing devices used by humans. 554

This aspect could be investigated via the observation of macaques achieving different goals with the same object, as has already been tested in humans. Generally, extending the research into more naturalistic, less constrained settings, wherein macaques interact with familiar objects that are, in reality, part of their behavioral repertoire, would afford invaluable information on the very nature of these mechanisms. The development of wireless recording systems would make it feasible to record neural activity in macaques in naturalistic settings, facilitating the study of a greater number of subjects, thereby to furnishing the observations with more statistical power.

Aside from rendering research across settings and species more homogeneous, there are 562 other factors that, in our opinion, must be considered to enable future research to better characterize 563 the kinematics underlying reach-to-grasp movements in macaques, which in turn would allow for a 564 more meaningful comparison with human movements (Napier, 1956; Cutkosky, 1989; Bullock and 565 566 Dollar, 2011). It is worth noting that, in humans, lateralized and cognitive functions are largely linked to handedness-related differences. The majority of the human population (90%) is right 567 handed, across all human societies and over long time periods (Cashmore et al. 2008; Fitch and 568 Braccini 2013). When comparing the population-level hand dominance among humans and 569 nonhuman primates, the results appear to be inconsistent, because assessing hand preference 570 depends strictly on the tasks employed and the statistical approaches used to characterize hand 571 preference (Hopkins 2013a, b). It is worth noting that handedness-related tasks (e.g., food reaching, 572 haptic reaching, joystick tasks, quadrupedal reaching, and bimanual feeding) vary greatly across 573 studies. According to Fagot and Vauclair (1991), the task and task demands are relevant factors in 574 determining the strength of lateralization observed in nonhuman primates. In particular, tasks that 575 require bimanual coordination are more prone to elicit a stronger manual laterality in non-human 576 577 primates, relative to actions that are simple and routine. These latter tasks would also be poor indicators of hand preference, due to their low cognitive and motor involvement (Fagot and 578 Vauclair 1991; Regaiolli et al. 2018). Papademetriou and colleagues (2005) performed a meta-579 580 analysis of 62 studies representing 31 species (including prosimians, New World monkeys, Old

Downloaded from www.physiology.org/journal/jn by {{individualUser.givenNames} {{individualUser.surname} (147.162.126.240) on November 21, 2018. Copyright © 2018, Journal of Neurophysiology. All rights reserved. 581 World monkeys, and apes) that indicated a population-level left-handed bias for prosimians and Old World monkeys, and determined that six out of 12 studies indicated a population-level right-handed 582 bias among apes. Further evidence of a population-level bias for the right handedness has been 583 reported in relation to chimpanzees, with three populations undergoing a task requiring coordinated 584 bimanual actions (tube task). The results revealed an approximate 2:1 ratio of right-to-left-handed 585 individuals among a population of captive chimpanzees (Hopkins et al. 2004). Evidence collected 586 regarding macaques' one-hand preference is hardly unambiguous. The heterogeneity of results can 587 be ascribed to several factors, ranging from differences in temperament (Thierry 2007) to age and 588 the rearing history of the subjects (Hopkins et al. 2003). No population-level bias in hand use was 589 reported when subjects were observed in unimanual tasks (Howell et al. 2007; Nelson et al. 2011), 590 whereas bimanual tasks (such as the tube task) revealed a population-level preference for the right 591 592 hand (Westergaard and Suomi 1996) or the left hand (Westergaard et al. 1997).

These considerations should be taken into account, when interpreting the studies cited in this 593 review. In the majority of studies, the researchers measured the exemplars' hand performance 594 during task execution, without any consideration of individual differences in hand preference or, 595 more importantly, differences between humans and non-human primates, in terms of population-596 level motor bias. For example, the right hand has been measured in the majority of naturalistic and 597 behavioral laboratory (although the left hand was blocked) studies adopting the two-digit approach. 598 Conversely, the majority of multi-digit studies considered the left hand or either the left or the right 599 hand, in different exemplars. Given that additional gap in the research, it remains difficult to 600 engender a homogeneous picture, and this complicates the issue of homology. Ideally, the left and 601 the right hand should be subject to equally thorough investigative measures. Consider that both 602 603 right-and left-handed humans exhibit very distinctive neural and kinematic reach-to-grasp patterning, when using a non-dominant hand (Begliomini et al. 2008; Gonzalez et al. 2006, 2007). 604 Another important consideration involves the developmental trajectory. Some studies 605 revealed that infant macaques develop the capacity to reach and grasp starting from the third week 606

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of life (e.g., Nelson et al. 2011) whereas in humans, not until infants reach approximately nine
months of age that their hands start to shape in response to object properties (Von Hofsten &
Ronnqvist 1988). This suggests that, in a short period of time, infant macaques develop the capacity
to move about in an environment and interact with objects in an adult-like fashion (Sclafani et al.
2015). A carefully-designed kinematical investigation could determine whether this is truly the case
or if the seemingly mature pattern disguises a simpler developmental trajectory that merely shifts,
from broad to refined motor skills.

Although ever more information is constantly being collected, with regard to the behavioral 614 manifestations of reach-to-grasp movements in macaques, a substantial amount information has yet 615 to be revealed or understood, about the variables involved, the organization of prehensile activities 616 among these primates, and interspecies similarities and differences. Recent methodological 617 618 advances should pave the way for a more direct and complete examination of the kinematics underlying hand movements in these primates, across various settings. Carefully-designed studies 619 will conclusively answer the remaining questions and hopefully lead to innovative experiments that 620 would facilitate a more sophisticated mode of comparison between humans and macaques. This is 621 critical, given that the neuronal mechanisms responsible for the control of reach-to-grasp movement 622 have already been studied, particularly in macaque monkeys. Comprehending the similarities 623 linking human and macaque movement behavior is essential, if we ever hope to capitalize on the 624 animal model for human benefit. There is not previously published comparative account that details 625 the reach-to-grasp kinematics of macaque monkeys. The purpose of the present review was to 626 provide such a description. 627

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859 Figure captions

Figure 1. The main categories of grips used for manipulation of objects. For each grip type, the surface area of contact is shown in grey on the hand diagram (modified from MacFarlane and Graziano 2009).

863

Figure 2. Graphical representation of the wrist velocity profile (a) and of the grip aperture (b).

Figure 3. (a) A schematic drawing showing the seated posture adopted by the animal during reach-866 to-grasp movements. In the upper call-out, a precision grip involving the tip of the forefinger and 867 thumb to hold small objects is represented. In the lower one, a power grip in which all four fingers 868 are opposed to the thumb to hold larger objects is represented. (b) Wrist peak velocity and (c) grip 869 aperture for power (left panel) and precision (right panel) grip movements in a representative 870 subject. (d) The setting for laboratory behavioral experiments. For the size experiment a small (S) 871 or a large (L) cylinder (1.5 or 2.5 cm diameter, respectively) was presented on a tray fitted onto the 872 primate chair. (e) Time plots of grip aperture and wrist velocity for a representative movement 873 directed to either the small object or the large object. 874

875

Figure 4. Changes in the relative timing (expressed as a percent of total movement time) of time to peak velocity (TPV) and the time of maximum grip aperture (TGA) as a function of object size for the macaques' studies considered in the present review. Dashed vertical lines indicate an approximate mean value for human studies considering small and large stimuli located at a distance comparable to the macaques studies (ca 20 cm).

881

Figure 5. (a) Overlays show the movements performed by the animal at three different distances. (b) The average peak wrist velocity (left panel) for objects located at different distances and (c) the correlation between mean peak wrist velocity and distance from the target (right panel). Modified from Sartori et al. 2013a and Sartori et al. 2013b. (d) Laboratory location experiment. Three
cylinders were aligned perpendicularly to the monkey's sagittal axis. (e) Wrist velocity and grip
aperture profiles of 3 individual movements directed to the 3 object locations. Note that leftward
movements showed later wrist velocity and grip aperture peaks (modified from Roy et al. 2000,
2002).

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Figure 6. Graphical representation of the monkeys (a) sitting and (b) in a tripedal stance as they reached and grasped. A schematic drawing of the interaction between the type of posture and the type of grip for the time (c) and the amplitude (d) of the maximum grip aperture. Bars represent the standard error of means. Note that for the tripedal stance the values for these measures remain invariant independently from the type of grasp (modified from Sartori et al. 2014a).

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Figure 7. Superimposition of the velocity and grip profiles for (a) the snatching and (b) the
unconstrained conditions. In panel 'a' arrows indicate the correspondence between the time at
which the maximum grip aperture and the beginning of the low velocity phase occur for a
movement in the snatching condition (modified from Sartori et al. 2015). Please note that wrist peak
velocity was reached earlier for the snatching than for the unconstrained condition (161±21 ms vs
215±20 ms). And that the time of maximum grip aperture was reached later for the snatching than
for the unconstrained (289±32 ms vs 315±26 ms).

904

Figure 8. A schematic drawing depicting the three experimental conditions and mean wrist trajectories. The left (a) and the right (b) target is reached in isolation. (c) The left target along with the distractor (solid line represents the mean trajectory path). For the sake of comparison, the dashed line represents the mean trajectory path for the left target without distractors. (d) The right target with the distractor (solid line represents the mean trajectory path). For the sake of comparison, the dashed line represents the mean trajectory path for the right target without

distractors. (e) A graphic representation of the interaction "condition by stimulus size" for the test 911 conditions. Grip apertures for large and small objects for the control (no distractor), congruent 912 (target and distractor of a similar size), and incongruent (target and distractor of a different size) 913 914 experimental conditions are represented. Bars represent the standard error of means. (f) representative example of maximum trajectory height for the right target alone (solid line) and for 915 the right target along with the distractor (dashed line) conditions. Values on the axis are in 916 millimetres (mm). Axis z = sagittal axis; axis y = vertical axis. The arrow indicates the point of 917 maximum trajectory height (modified from Bulgheroni et al. 2017 and Sartori et al. 2014b). 918 919

Figure 9. (a) The grip types employed for grasping target objects. (b) Maximal finger aperture during the execution of grasp-to-eat, grasp-to-place an object, and grasp-to-place food with finger prehension (FP), precision grip (PG), and side grip (SG). (c) Wrist velocity peak during the execution of grasp-to-eat, grasp-to-place an object, and grasp-to-place food with FP, PG, and SG (modified from Bonini et al. 2012)

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Figure 10. (a) Objects grouped into four classes indicated by the labels. (b) Hand postures over time reflect the evolution of hand shaping during reaching. Behavioral task. (c) Macaque monkeys grasp a wide range of objects presented on a PC-controlled turntable. During a recording session the animals wore an instrumented glove holding electromagnetic sensor coils for tracking finger, hand, and arm movements (modified from Mason et al. 2004; 2006 and from Schaffelhofer et al. 2015a). 931

Table 1. A brief overview of the kinematical studies carried out in macaques in different settings. In parentheses further specifications regarding the number of
 participants.

|                         |    |      |                    |                             |              | Dependent measures                              |   |  |  |  |
|-------------------------|----|------|--------------------|-----------------------------|--------------|---|---|--|--|--|
| Study                   | n  | hand | Type of study      | Type of Object              | Type of Grip | Reaching component                              | Grasping component                      |  |  |  |
| Sartori et al., 2013a   | 20 | RH   | Naturalistic       | Balls of clay; Round stones | PG/PoG       | Movement duration;<br>time/amplitude wrist peak | Time/amplitude<br>maximum grip aperture |  |  |  |
|                         |    |      |                    |                             |              | velocity; deceleration time                     |   |  |  |  |
| Sartori et al., 2013b   | 20 | RH   | Naturalistic       | Balls of clay               | PG/PoG       | Movement duration;                              | Time/amplitude                          |  |  |  |
|                         |    |      |                    |                             |              | time/amplitude wrist peak<br>velocity           | maximum grip aperture                   |  |  |  |
| Sartori et al., 2014a   | 10 | RH   | Naturalistic       | Small/large objects         | PG/PoG       | Movement duration;                              | Time/amplitude                          |  |  |  |
|                         |    |      |                    |                             |              | time/amplitude wrist peak                       | maximum grip aperture                   |  |  |  |
|                         |    |      |                    |                             |              | velocity  |   |  |  |  |
| Sartori et al., 2014b   | 20 |      | Naturalistic       | Small/large objects         | PG/PoG       |   | Maximal hand aperture                   |  |  |  |
| Sartori et al. 2015     | 6  | RH   | Naturalistic       | Food items                  | PG           | Movement duration;                              | Time/amplitude                          |  |  |  |
|                         |    |      |                    |                             |              | Time/amplitude wrist peak                       | maximum grip aperture                   |  |  |  |
|                         |    |      |                    |                             |              | velocity; Deceleration time;                    |   |  |  |  |
|                         |    |      |                    |                             |              | Breakpoint (Low velocity                        |   |  |  |  |
| D 1 1 1 . 0017          |    | DII  |                    |                             | ÞG           | phase)  |   |  |  |  |
| Bulgheroni et al., 2017 | 6  | RH   | Naturalistic       | Food items                  | PG           | Lateral deviation wrist                         |   |  |  |  |
|                         |    |      |                    |                             |              | trajectory; Maximum wrist                       |   |  |  |  |
| Christel and Billard.   | 5  | RH   | Semi-naturalistic  | Food items                  | PG           | Movement duration:                              | Time/amplitude                          |  |  |  |
| 2002                    | -  | /LH  | setting            |                             |              | Angular displacement;                           | maximum grip aperture;                  |  |  |  |
|                         |    |      | 0                  |                             |              | Angular speed velocity;                         | Angular velocity and                    |  |  |  |
|                         |    |      |                    |                             |              | Angular acceleration                            | acceleration of fingers'                |  |  |  |
|                         |    |      |                    |                             |              |   | aperture                                |  |  |  |
| Fogassi et al., 1991    | 1  | RH   | Laboratory setting | Stimuli of three            | PG/PoG/FG    | Movement duration;                              | Time/amplitude                          |  |  |  |
|                         |    |      |                    | different sizes             |              | Time/amplitude wrist peak                       | maximum grip aperture                   |  |  |  |
| Rov et al., 2000        | 2  | RH   | Laboratory setting | Large or small              |              | Movement duration                               | Time/amplitude maximum                  |  |  |  |
| - <b>)</b>              |    |      | ,                  | cylinders                   |              | Time/amplitude wrist peak                       | grip aperture                           |  |  |  |
|                         |    |      |                    | •                           |              | velocity  |   |  |  |  |
| Roy et al., 2002        | 3  | RH   | Laboratory setting | Concentric white            |              | Movement duration;                              | Time/amplitude maximum                  |  |  |  |
|                         |    |      |                    | plastic cylinders           |              | Time/amplitude wrist peak                       | thumb-index and thumb-                  |  |  |  |
|                         |    |      |                    |                             |              | velocity, acceleration and                      | middle finger grip aperture             |  |  |  |
|                         |    |      |                    |                             |              | deceleration                                    |   |  |  |  |

| Table 1 continued                       |   |                        |                                   |  |        |   |   |
|---|---|------------------------|-----------------------------------|--|--------|---|---|
| Mason et al., 2004                      | 2 | RH<br>(1)<br>LH<br>(1) | Laboratory setting                | Set of 16 objects<br>divided into<br>4 classes of cubes,<br>rectangular<br>polygonal and<br>cylinders  |        | Distance between the<br>thumb IP joint and the<br>middle finger DIP joint   | Maximum grip aperture   |
| Mason et al., 2006                      | 2 | RH<br>(1)<br>LH<br>(1) | Laboratory setting                | Set of 16 objects<br>divided into<br>4 classes of cubes,<br>rectangular<br>polygonal and<br>cylinders  |        | Arm peak velocity   | Time/amplitude maximum<br>grip aperture;<br>Distance between the thumb<br>IP joint and the middle<br>finger DIP joint |
| Overduin et al., 2010                   | 1 | LH                     | Multidigit/<br>laboratory studies | 25 objects (cubes,<br>spheres, cylinders)  |        | Wrist   | MCP carpal metacarpal;<br>CMP opposition/reposition<br>adduction/abduction and<br>flexion/extension;<br>SME index     |
| Schaffelhofer et al., 2015a             |   | UN                     | Multidigit/<br>laboratory studies | Set of 48 objects<br>divided into 7<br>categories<br>(rings, cubes, spheres,<br>horizontal cylinders,<br>boxes, vertical<br>cylinders and<br>specials) | PG/PoG | Shoulder elevation rotation<br>and adduction/abduction;<br>forearm rotation; elbow<br>flexion; wrist<br>flexion/extension,<br>adduction/abduction and<br>pronation/supination | MCP flexion/extension and<br>adduction/abduction, DIP<br>flexion/extension, PIP<br>flexion/extension                  |
| Schaffelhoffer and<br>Scherberger, 2012 | 2 | UN                     | Multidigit/<br>laboratory studies | Set of 48 objects<br>divided into 7<br>categories<br>Rings, cubes, spheres,<br>horizontal and<br>vertical cylinders,<br>boxes, specials                | PG/PoG | Wrist flexion/extension,<br>adduction/abduction,<br>pronation/supination;<br>elbow flexion; shoulder<br>elevation, rotation and<br>adduction/abduction                        | MCP adduction/abduction,<br>flexion/extension; PIP; DIP   |
| Rouse and Schieber,<br>2015             | 3 | RH                     | Multidigit/<br>laboratory studies | 4 objects in 8<br>different positions<br>(perpendicular<br>cylinder, coaxial<br>cylinder, button,<br>sphere)   |        | Shoulder; elbow; wrist  | MCP joints, Thumb, PIP<br>flexion/extension   |

| Table 1 continued              |  |  |   |  |   |   |  |
|--------------------------------|--|--|---|--|---|---|--|
| Schaffelhofer et al.,<br>2015b | chaffelhofer et al., 2 <i>LH Neurophysiolo</i><br>)15b   |  | Neurophysiological  | Set of 48 objects<br>divided into 7<br>categories<br>(rings, cubes, spheres,<br>horizontal cylinders,<br>boxes, vertical<br>cylinders and<br>specials) | PG/PoG  | Shoulder elevation,<br>rotation, and<br>adduction/abduction;<br>forearm rotation; elbow<br>flexion/extension; wrist<br>flexion/extension, deviation<br>and pronation/supination | MCP joints, DIP joints, PIP<br>flexion/extension |
| Takahashi et al., 2017         | shi et al., 2017 2 <i>LH Neurophysiological</i> Set<br>diffe<br>(cyli<br>out an<br>disc<br>and<br>large<br>an<br>h |  | Set of 5 objects in<br>different orientations<br>(cylinder horizontal,<br>out and vertical, small<br>disc horizontal, out<br>and vertical, key,<br>large disc horizontal<br>and vertical, ring<br>horizontal and<br>vertical) | Diverse grips  | Humerus flexion/extension,<br>adduction/abduction and<br>rotation; elbow<br>flexion/extension; wrist<br>pronation/supination,<br>abduction/adduction and<br>flexion/extension | MCP flexion/extension and<br>adduction/abduction; PIP<br>flexion/extension  |  |

Notes. FP = Fingers grip; PG = Precision grip; PoG = Power grip; SD = Side grip; IP = Interphalangeal Joint; DIP = Distal Interphalangeal Joint; PIP =

Proximal Interphalangeal Joint; MCP = Metacarpal Phalangeal Joint; CMP = Carpometacarpal; SME = Sensorimotor Efficiency; LH = Left hand. RH = Right
 Hand; UN = Hand used unspecified.

Table 2a. Differences and similarities in the modulation of the main dependent measures characterizing the
 reaching component depending on object size, object distance, object location and movement speed between
 macaques and humans.

| Type of setting Experimental manipulation |          | Movement<br>Duration |          | Deceleration<br>Time |          | Amplitude Peak<br>Velocity |   | Breakpoint |   |
|---|----------|----------------------|----------|----------------------|----------|----------------------------|---|------------|---|
|   |          | М                    | Н        | М                    | Η        | М                          | Н | М          | Н |
|   | Size     | =                    | =        | =                    | =        | =                          | = | N          | F |
| Naturalistia                              | Distance | 7                    | <u>_</u> | 7                    | <u>_</u> | =                          | = | N          | F |
| Inaturalistic                             | Location |                      |          |                      | Ν        | T                          |   |            |   |
|   | Speed    | =                    | =        | =                    | =        | =                          | = | 7          |   |
|   |          | [                    |          | 1                    |          | T                          |   |            |   |
|   | Size     | =                    | =        | =                    | =        | 5                          | Ł | NF         |   |
| Laboratory                                | Distance | =                    |          | =                    |          | =                          |   | NF         |   |
| Behavioral                                | Location | =                    |          | =                    |          | =                          |   | N          | F |
|   | Speed    |                      | NT       |                      |          |                            |   |            |   |
|   | <i></i>  |                      |          |                      | N        | T                          |   |            |   |
| Laboutom                                  | Size     |                      |          |                      |          |                            |   |            |   |
| Neurophysiology                           | Location | NT NT                |          |                      |          |                            |   |            |   |
| rearophysiology                           | NT       |                      |          |                      |          |                            |   |            |   |
| NI  |          |                      |          |                      |          |                            |   |            |   |
|   | Size NT  |                      |          |                      |          |                            |   |            |   |
| General test                              | Distance |                      |          |                      | Ν        | T                          |   |            |   |
| Seminaturalistic                          | Location |                      |          |                      | Ν        | T                          |   |            |   |
|   | Speed    |                      |          | NT                   |          |                            |   |            |   |

972 Notes. M = Macaques; H = Humans; NF = Not found; NT = Not tested; '=' = same modulation for humans and macaques;  $\neq$  = 973 different modulation for humans and macaques

Table 2b. Differences and similarities in the modulation of the main dependent measures characterizing the grasping component depending on object size, object distance, object location and movement speed between macaques (M) and humans (H). 

| Type of setting  | Experimental manipulation | Time Maximum<br>Grip Aperture |    | Amplitude<br>Maximum Grip<br>Aperture |   | Evidence of<br>Synergies |   | Sensorimotor<br>Efficiency Index |   |  |
|------------------|---------------------------|-------------------------------|----|---------------------------------------|---|--------------------------|---|----------------------------------|---|--|
|                  |                           | М                             | Н  | М                                     | Н | М                        | Н | М                                | Н |  |
|                  | Size                      | =                             |    | =                                     | = |                          |   |                                  |   |  |
| Naturalistic     | Distance                  | ŧ –                           | :  | 7                                     | Ł | N                        | т | N                                | т |  |
| Ivaturaristic    | Location                  |                               | Ν  | Т                                     |   | 1                        | 1 | 1                                | 1 |  |
|                  | Speed                     | #                             |    | =                                     | = |                          |   |                                  |   |  |
|                  |                           |                               |    |                                       |   |                          |   | 1                                |   |  |
|                  | Size                      | =                             |    | =                                     |   | _                        |   | _                                |   |  |
| Laboratory       | Distance                  | NT                            |    |                                       |   |                          |   |                                  |   |  |
| Behavioral       | Location                  | =                             |    | =                                     |   | NT                       |   |                                  |   |  |
|                  | Speed                     | NT                            |    |                                       |   |                          |   |                                  |   |  |
|                  |                           | 1                             |    |                                       |   |                          |   | 1                                |   |  |
| <b>.</b> .       | Size                      |                               |    |                                       |   |                          |   |                                  |   |  |
| Laboratory       | Distance                  | NT                            |    |                                       |   | =                        | = | NT                               |   |  |
| Neurophysiology  | Location                  |                               |    |                                       |   |                          |   |                                  |   |  |
| I                | Speeu                     |                               |    |                                       |   |                          |   |                                  |   |  |
|                  | Size                      |                               | NT |                                       |   |                          |   |                                  |   |  |
|                  | Distance                  |                               | N  | T                                     |   | NT                       |   |                                  | - |  |
| Seminaturalistic | Location                  |                               | N  | Т                                     |   |                          |   | N                                | Т |  |
|                  | Speed                     |                               | N  | T                                     |   |                          |   |                                  |   |  |

Notes. NT = Not tested; '=' = same modulation for humans and macaques;  $\neq$  = different modulation for humans and macaques 



Side grip



Precision grip

d)



Thumb-to-Second-Third grip



Power grip

a)



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